

# Stephen G. Goodson

125 Crescent Drive  
Buda, Texas 78610  
512-422-0164  
spee@stevegoodson.com

## Credentials

- CIA** Certified Internal Auditor – 1993
- CISA** Certified Information Systems Auditor – 1997
- CGAP** Certified Government Auditing Professional – 2000
- CCSA** Certification in Control Self Assessment – 2003
- CLEA** Certified Law Enforcement Auditor – 2010
- CRMA** Certification in Risk Management Assurance – 2012

## Speaking Topics, Research, & Publications

- Internal Audit Basics - 18-hour Internal Auditor Training Program
- How to Prepare for an Audit
- Transforming Internal Audit – Why the Standards Matter
- Stop Acting Like Auditors - 2015 IIA International Conference
- Internal Control Continuum – Our levels of Defense
- How to Build a Strategic Competency Plan in Public Sector  
2013 IIA International Conference
- Best Practices in Policies and Procedures
- Rethinking the Future of Audit: Internal Audit is at a Crossroads  
2013 IIA Austin Chapter Research
- The Role of Auditing in Public Sector Governance  
2012 IIA International Conference, 2012 IIA Publication
- Transparency of the Internal Audit Report in the Public Sector  
2012 IIA Publication
- Risk Assessment - A Tool for Managing the Audit Department
- After the Audit Report, So What?
- Internal Audit Quality Assurance and Improvement
- Ethics – How it Promotes Organizational Excellence
- Internal Audit's Role in Promoting a Positive Tone at the Top
- Performance Measures for Internal Audit Functions
- The Political Side of Auditing
- Strategic Planning using the Internal Audit Capability Model

## Experience

### Chief Internal Auditor – Interim

#### 2014 – 2015 University of North Texas System

Serving as the interim Chief Internal Auditor for the University of North Texas System. Charged by the Board of Regents and the Audit Committee to stabilize and professionalize the internal audit function. Proposed and implementing a new organizational structure that strengthens the financial audit function and integrity/fraud, waste, abuse prevention, detection and investigation services. Presented to the UNT Internal Audit Class on the fundamentals of Internal Audit.

## Experience continued

### Principal – Steve Goodson, CIA and Associates

#### 2014 – Present

Providing world class internal audit and related services, including:

- Contract Internal Audit Services
- Interim CAE Services
- Internal Audit Training
- Public Sector Ethics Training
- Quality Assurance Reviews
  - International Professional Practices Framework (Red Book)
  - Generally Accepted Government Auditing Standards (Yellow Book)
  - Annual Self-Assessment Facilitation
  - Independent Validations

#### Chief Auditor – Chief Auditor’s Office

##### 2010 – 2014 Texas Department of Public Safety

- Transformed and modernized the Texas DPS Office of Audit and Inspection, now known as the Chief Auditor’s Office
- Heavy emphasis on federal grants management, contract management, and improving basic internal controls
- Liaison with external oversight entities, including the Texas State Auditor and FEMA
- Mentored student groups and interns from both the University of Texas McCombs School of Business and Texas State University Master of Public Administration Program
- Developed and presented a curriculum of internal control related training, including: How to Prepare for an Audit, DPS Internal Control Continuum, and Best Practices in Policies and Procedures
- Recognized by the Institute of Internal Auditors – Austin Chapter as the 2011 and 2013 Employer of the Year

#### Chief Auditor - Chief Auditor’s Office

##### 2000 - 2010 Texas Commission on Environmental Quality

- Managed the combined resources of the internal, external and information technology audit functions for the world’s second largest environmental agency
- Oversaw financial, information technology, compliance, fraud and performance audits in accordance with professional auditing standards and the Texas Internal Auditing Act
- Served as liaison with external oversight entities, including the Texas State Auditor
- Mentored student groups and interns from both the University of Texas McCombs School of Business and Texas State University Master of Public Administration Program
- Designed and implemented 18-hour basic internal auditor training program
- Implemented Enterprise Risk Management
- 2008 Manager of the Year

## Experience continued

### Senior Internal Audit Project Manager - Internal Audit

#### 1997–2000 Texas Commission on Environmental Quality

- Conducted internal audits and fraud investigations under limited supervision
- Led programmatic, technology and financial related audit teams from initial project planning through final reporting

### Senior Internal Auditor / Staff Internal Auditor

#### 1989–1997 Texas Rehabilitation Commission

- Duties included management consultant / staff auditor; expanded to senior / lead auditor
- Audited vocational rehabilitation programs including proprietary schools and hospitals
- Responsible for financial and computer fraud investigations
- 1995 Employee of the Year

## Professional Service

### State Agency Internal Audit Forum (SAIAF)

- Chair 2004-2006
- Vice Chair 2002-2004
- Reporter 2000-2002

### Institute of Internal Auditors (IIA)

- Member since 1990
- Certification Committee 1995-1999
- Board of Governors 2000-2004
- Committee of Research and Education Advisors 2012-Present
- American Center for Government Auditing - Advisory Board 2013-Present
- International Government Relations / Public Sector Committee 2004-2012
- Principal Author The Role of Auditing in Public Sector Governance
- Chair – Austin Chapter of International Committee Members 2004-Present
- Austin Chapter Research Committee – Participated in award winning research projects 2008 - 2013

### Information Systems Audit and Control Association (ISACA)

- Member since 1997
- Certification Chair 1999-2000

### Texas State Auditor's Office (SAO)

- Member, Professional Development Resource Group 1996-2012
- Chair, SAO / State Agency Relationship Improvement Committee 2005
- Frequent speaker at SAO events, including the annual audit conference and the Texas Fiscal Officers Academy

### Internal Audit Leadership Development Program (IALDP)

- Founder of a six-month program that prepares senior internal auditors in state government for internal audit leadership positions. Since 2002, this program has graduated 44 leaders; many of these graduates are now Chief Audit Executives in Texas State government

### Texas Fiscal Officers' Academy

- 2012-2014 Curriculum Committee Member and Speaker

**Education**

Stephen F. Austin State University, Nacogdoches, Texas  
1985 B.A., Sociology and General Business Administration

**Awards**

- Employee of the Year 1995 - Texas Rehabilitation Commission
- 2000 Institute of Internal Auditors - International Commitment to Quality Award
- 2002 Team of the Year - Texas Commission on Environmental Quality
- 2003 Boss of the Year - Institute of Administrative Professionals
- 2006-2007 Practitioner of the Year - Institute of Internal Auditors – Austin
- 2008 Manager of the Year- Texas Commission on Environmental Quality
- 2011– Employer of the Year - - Institute of Internal Auditors – Austin
- 2013– Employer of the Year - - Institute of Internal Auditors – Austin